

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number:  
**1662/546051**

Application Number  
**Not Yet Assigned**

Filing Date  
**Herewith**

Examiner  
**Brenda L.  
Coleman**

Art Unit  
**1624**

Invention Title  
**PREPARATION OF RISPERIDONE**

Inventors  
**KROCHMAL, et al.**

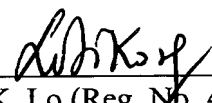
Address to:  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 35 U.S.C. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
2. Copies of each patent, publication or other information listed on the modified PTO Form 1449 are not enclosed since they were previously cited by or submitted to the Patent Office in a prior application, Serial No. 09/929,808, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Dated:

9/23/03

By:

  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>DOCKET NO.</b> 1662/546051	<b>SERIAL NO.</b> Not Yet Assigned
	<b>APPLICANT</b> Krochmal, et al.	
	<b>FILING DATE</b> Herewith	<b>GROUP</b> 1624

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,804,663	Feb. 14, 1989	Kennis et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
		John K. Haleblan "Characterization of Habits and Crystalline Modification of Solids and Their Pharmaceutical Applications"; Journal of Pharmaceutical Sciences, Vol. 64, No. 8, August 1975 - PP1269 - 1288.
		G. Michael Wall "Pharmaceutical Applications of Drug Crystal Studies", Pharmaceutical Manufacturing, vol. 3, No.2, February 1986; PP 33-42.
		John Haleblan et al "Pharmaceutical Applications of Polymorphism"; Journal of Pharmaceutical Sciences, Vol. 58, No. 8, August 1969 - PP.911 - 929.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	